# **Inorganic Chemistry**

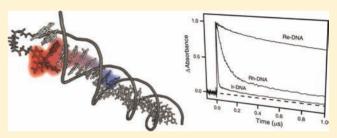
## Using Metal Complex Reduced States to Monitor the Oxidation of DNA

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**Supporting Information** 

**ABSTRACT:** Metallointercalating photooxidants interact intimately with the base stack of double-stranded DNA and exhibit rich photophysical and electrochemical properties, making them ideal probes for the study of DNA-mediated charge transport (CT). The complexes  $[Rh(phi)_2(bpy')]^{3+}$ (phi = 9,10-phenanthrenequinone diimine; bpy' = 4-methyl-4'-(butyric acid)-2,2'-bipyridine),  $[Ir(ppy)_2(dppz')]^+$  (ppy = 2phenylpyridine; dppz' = 6-(dipyrido[3,2-a:2',3'-c]phenazin-11yl)hex-5-ynoic acid), and  $[Re(CO)_3(dppz)(py')]^+$  (dppz =



dipyrido[2,3-*a*:2',3'-*c*]phenazine; py' = 3-(pyridin-4-yl)-propanoic acid) were each covalently tethered to DNA to compare their photooxidation efficiencies. Biochemical studies show that upon irradiation, the three complexes oxidize guanine by long-range DNA-mediated CT with the efficiency: Rh > Re > Ir. Comparison of spectra obtained by spectroelectrochemistry after bulk reduction of the free metal complexes with those obtained by transient absorption (TA) spectroscopy of the conjugates suggests that the reduced metal states form following excitation of the conjugates at 355 nm. Electrochemical experiments and kinetic analysis of the TA decays indicate that the thermodynamic driving force for CT, variations in the efficiency of back electron transfer, and coupling to DNA are the primary factors responsible for the trend observed in the guanine oxidation yields of the three complexes.

### INTRODUCTION

Cellular DNA is continually under the threat of oxidation from a host of sources.<sup>1-4</sup> Left unrepaired, oxidative damage to DNA leads to health problems, including cancer.<sup>5-7</sup> To improve our understanding of the chemical mechanisms underlying oxidative damage, as well as the biological factors affecting the prevalence, detection, and repair of such damage, it is necessary to utilize a wide variety of chemical and biological tools and techniques.

One especially useful tool for the study of oxidative damage in DNA is DNA-mediated charge transport (CT). Because of orbital overlap between the  $\pi$  systems of neighboring nucleobases, DNA can serve as a bridge in long-range electron transfer (ET) reactions. Unlike photocleavage mechanisms, many of which result in the formation of nonspecific damage by reactive oxygen species,<sup>8–10</sup> or photoligation mechanisms, which lead to the formation of unnatural adducts between metal complexes and DNA,<sup>11</sup> DNA-mediated CT results in preferential damage at sites of low oxidation potential. Oxidative events at low potential guanine sites  $(E^{\circ}[G^{\bullet+}/G] =$ 1.29 V vs NHE)<sup>12</sup> can be initiated by many different DNAbound oxidants, including organic molecules, transition metal complexes, and DNA base analogues,<sup>13-18</sup> allowing for the study of DNA oxidation in a wide variety of environments and sequence contexts. Additionally, oxidative probes are capable of inducing damage in regions far from the site of charge injection. In solution studies, damage at guanine sites was observed

almost 200 Å away from a DNA-bound oxidant.<sup>19</sup> Recently, our laboratory observed the propagation of robust redox signals over a distance of 100 base pairs, or 340 Å, in DNA monolayers on gold electrodes.<sup>20</sup> DNA CT may fulfill biological roles as well. The observed funneling of oxidative damage to regions of mitochondrial DNA that contain genes necessary for replication may serve as a check against the propagation of damaged genetic material in situations of high oxidative stress.<sup>21</sup> DNA CT also may be involved in other capacities within the cell,<sup>22</sup> for example, to activate transcription<sup>23,24</sup> and to perform long-range signaling.<sup>25</sup>

To study such reactions in the laboratory, it is necessary to have a convenient method for initiating DNA CT reactions.<sup>26</sup> Transition metal complexes have proven especially amenable for use as oxidants in the study of DNA damage because of their synthetic versatility and the ability to tune their redox properties. In addition, an appropriate ligand set enables metal complexes to interact strongly with DNA through intercalative binding, allowing for the initiation of long-range DNA-mediated oxidation by optical excitation of the bound complex. Complexes of the type  $[Rh(phi)_2(L)]^{3+}$  (phi = 9,10-phenanthrenequinone diimine), where L = bpy (2,2'-bipyridine) or phen (phenanthroline), are especially strong photooxidants. These complexes, which bind DNA through

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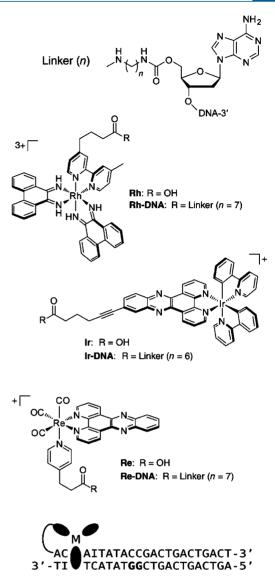
intercalation of the phi ligand, were used to establish the ability of DNA to propagate charge.<sup>27</sup> Photoexcitation of DNA-bound  $[Rh(phi)_2(L)]^{3+}$  at 365 nm leads to injection of a positive charge into the DNA base stack, which then equilibrates at sites of low redox potential (guanine and guanine repeats). 19,28 Iridium complexes have also been used to initiate DNAmediated CT processes. The complex  $[Ir(ppy)_2(dppz)]^+$  (ppy = 2-phenylpyridine; dppz = dipyrido[2,3-a:2',3'-c]phenazine) intercalates into DNA via the dppz ligand. Interestingly, from the excited state, the complex is a strong enough reductant and oxidant to promote both the reduction and the oxidation of DNA.<sup>29</sup> This remarkable ability has enabled characterization of DNA-mediated ET and DNA-mediated hole transfer in identical sequence contexts, showing that both have a shallow distance dependence.  $^{30,31}$  Tricarbonyl rhenium complexes are of interest because of the strong infrared absorption of the carbonyl ligands. Excitation and reduction of such complexes can be followed temporally by observing dynamic changes in the stretching frequencies of the carbonyl ligands.  $^{32-34}$  In addition, complexes such as  $[Re(CO)_3(dppz)(L)]^{n+}$  act as "light switches,"<sup>35</sup> luminescing only when bound to DNA.<sup>36–39</sup> Such interesting photophysical properties provide additional means of monitoring DNA CT events.

Because of the large number of factors that affect the relative efficiency of DNA CT, such as DNA binding strengths, redox properties, and photophysical behavior of various metal complexes, it is necessary to compare DNA oxidants in identical environments. In the present study, we have examined the ability of three metal complexes to report on DNAmediated oxidation events through the appearance of their reduced states. We have focused on investigation of the reduced states of [Rh(phi)<sub>2</sub>(bpy')]<sup>3+</sup>, [Ir(ppy)<sub>2</sub>(dppz')]<sup>+</sup>, and [Re- $(CO)_3(dppz)(py')$ ]<sup>+</sup> [Rh, Ir, and Re, respectively; bpy' = 4methyl-4'-(butyric acid)-2,2'-bipyridine; dppz' = 6-(dipyrido-[3,2-a:2',3'-c]phenazin-11-yl)hex-5-ynoic acid; py' = 3-(pyridin-4-yl)-propanoic acid] and their DNA-conjugates (Rh-DNA, Ir-DNA, and Re-DNA) in aqueous and organic solutions, as well as their efficiencies of DNA photooxidation. The structures of the complexes and conjugates are shown in Figure 1. We have used steady-state spectroelectrochemistry and nanosecond transient absorption (TA) spectroscopy to record the electronic spectra of the reduced states of the metal complexes and the charge transfer products of the metal-DNA conjugates, respectively. In addition, we have compared these spectral profiles with the redox properties and efficiencies of DNA photooxidation by the three complexes.

#### EXPERIMENTAL SECTION

**Materials.** Unless indicated otherwise, all reagents and solvents were of reagent grade or better and were used as received without further purification. All reagents for DNA synthesis were purchased from Glen Research (Sterling, VA). The complexes **Ir** and **Rh** were laboratory stocks and had been prepared from published protocols.<sup>29,40</sup>

**Synthesis of Metal Complexes.** The synthesis of [*fac*-Re- $(CO)_3(dppz)(py')$ ][Cl] closely followed the procedure of Stoeffler et al.<sup>37</sup> A mixture of 253 mg (0.7 mmol) of Re(CO)\_3Cl and 147 mg (0.7 mmol) of 1,10-phenanthroline-5,6-dione in 7 mL of toluene was refluxed (110 °C) for 4.5 h. The crude solid product was collected by suction filtration, purified by silica gel using tetrahydrofuran (THF) as an eluent, and dried under vacuum to yield Re(CO)\_3Cl(1,10-phenanthroline-5,6-dione) as an orange microcrystalline solid. Re-(CO)\_3Cl(dppz) was formed by heating 160 mg (0.31 mmol) of Re(CO)\_3Cl(1,10-phenanthroline-5,6-dione) in 15 mL of EtOH to



**Figure 1.** Structures of complexes and DNA sequences used in biochemical and spectroscopic experiments. The complexes were used free in solution or were covalently attached to the DNA sequence shown via an alkyl linker (n = 6 or 7). The 5'-GG-3' site is shown in bold. Physical models suggest that the tethered complexes intercalate 1–3 bases from the end of the duplex.

reflux (85 °C), adding 55 mg (0.6 mmol) of o-phenylenediamine, and refluxing the mixture for 1 h. The yellow-ochre solid product was collected by suction filtration. <sup>1</sup>H NMR (300 MHz) in dimethylsulfoxide (DMSO) indicated the presence of the dppz ligand:  $\delta$  8.22 (q, 2H), 8.31 (m, 2H), 8.55 (q, 2H), 9.58 (d, 2H), 9.88 (d, 2H). The desired product was obtained following substitution for the Cl ligand. A suspension of 160 mg (0.27 mmol) of Re(CO)<sub>3</sub>Cl(dppz) was heated under Ar to 50 °C in 25 mL of dry DMF. After addition of 280 mg (1.1 mmol) of AgPF<sub>6</sub>, the reaction mixture was heated at 50  $^{\circ}$ C for 5 min, then 250 mg (1.7 mmol) of py' was added and the mixture was refluxed at 70 °C under Ar for 6 h. The reaction was cooled, and the AgCl precipitate was removed by gravity filtration, yielding an orangeyellow solution. The crude product was purified by silica gel using 5% methanol in chloroform as the eluent, and then dried under vacuum to yield  $[Re(CO)_3(dppz)(py')](PF_6)$ . The PF<sub>6</sub> counterion was exchanged for chloride using Sephadex QAE A-25 anion exchange resin, and the resulting solution was concentrated using a C18 Sep-Pak to yield fac-[Re(CO)<sub>3</sub>(dppz)(py')]Cl as a bright yellow solid. <sup>1</sup>H NMR (PF<sub>6</sub> salt, 300 MHz, CD<sub>3</sub>CN): δ 9.79 (dd, 2H), 9.65 (dd, 2H), 8.37 (dd, 2H), 8.23 (m, 4H), 8.09 (dd, 2H), 7.13 (d, 2H), 2.73 (t,

2H), 2.44 (t, 2H). <sup>13</sup>C NMR (PF<sub>6</sub> salt, 300 MHz, CD<sub>3</sub>CN):  $\delta$  155.3, 155.0, 151.3, 149.0, 142.4, 136.5, 132.4, 129.3, 128.2, 126.3, 32.3, 28.9. ESI: calcd 703.7 for C<sub>29</sub>H<sub>19</sub>N<sub>5</sub>O<sub>5</sub>Re (M<sup>+</sup>), found 703.9.

The related ethyl ester was prepared in the same way following the Fischer esterification of py'. <sup>1</sup>H NMR (PF<sub>6</sub> salt, 300 MHz, CD<sub>3</sub>CN):  $\delta$  9.90 (dd, 2H), 9.65 (dd, 2H), 8.45 (dd, 2H), 8.26 (dd, 2H), 8.20 (dd, 2H), 8.13 (dd, 2H), 7.09 (d, 2H), 3.88 (q, 2H), 2.72 (t, 2H), 2.41 (t, 2H), 0.99 (t, 3H). ESI: calcd 731.8 for C<sub>31</sub>H<sub>23</sub>N<sub>5</sub>O<sub>5</sub>Re (M<sup>+</sup>), found 732.0.

DNA Synthesis and Modification. Oligonucleotides were prepared using standard solid-phase phosphoramidite chemistry on an Applied Biosystems 3400 DNA synthesizer. Covalent tethers were appended to the 5'-ends of resin-bound oligonucleotides in two ways. For the Ir-DNA conjugate, an amino-terminated C<sub>6</sub>-alkyl phosphoramidite was added in the last step of the automated synthesis; for the Rh- and Re-DNA conjugates, a diaminononane linker was added as previously described.<sup>41</sup> Agitation of the amine modified strands in the presence of metal complex, O-(benzotriazol-1-yl)-N,N,N',N'-tetramethyluronium hexafluorophosphate (HBTU), 1-hydroxybenzotriazole hydrate (HOBT), and diisopropylethylamine (DIEA) in anhydrous DMF resulted in covalent attachment of the metal complex to the DNA. Cleavage from the resin was effected by incubation in NH<sub>4</sub>OH at 60 °C for 6 h. Strands were purified by reversed-phase HPLC (50 mM aqueous ammonium acetate/acetonitrile gradient) using a Clarity 5  $\mu$  Oligo-RP column (Phenomenex). Oligonucleotides were characterized by MALDI-TOF mass spectrometry and quantitated by UV/visible spectroscopy. Annealing was accomplished by incubating solutions containing equimolar amounts of complementary strands in buffer (10 mM sodium phosphate, 50 mM NaCl buffer; pH 7.5) at 90 °C for 5 min followed by slow cooling over 90 min to ambient temperature. The melting temperature  $(T_m)$  of each duplex was determined by monitoring the 260 nm absorbance of a dilute sample while heating slowly (1 °C min<sup>-1</sup>) from ambient temperature to 100 °C; the  $T_{\rm m}$  is taken as the inflection point of the melting curve.

**Electrochemistry.** Electrochemical measurements were carried out using an electrochemical workstation (CH Instruments 650A). Cyclic voltammetry (CV) was performed at ambient temperature using a standard three electrode apparatus with a glassy carbon working electrode, a Pt auxiliary electrode, and a Ag/AgCl reference electrode. The use of an internal ferrocene/ferrocenium standard for CV measurements facilitated conversion of the potentials referenced vs Ag/AgCl to NHE. Immediately prior to measurement, samples were degassed rigorously with N<sub>2</sub>. All samples were measured in the presence of 0.1 M tetra-*n*-butylammonium hexafluorophosphate electrolyte. All redox potentials are reported herein vs NHE.

Gel Electrophoresis. Polyacrylamide gel electrophoresis (PAGE) experiments were performed based on published procedures.<sup>42</sup> Briefly, DNA strands were radioactively labeled on the 5'-end with  $[\gamma^{-32}P]$ -ATP (MP Biomedicals), treated with 10% piperidine for 25 min at 90 °C, and purified by 20% PAGE. Duplexes were formed by heating a mixture of the purified, labeled strands (8 pmol), unlabeled strands of the same sequence (192 pmol), and complement strands bearing tethered metal complexes (200 pmol) at 90 °C for 5 min followed by slow cooling over 90 min to ambient temperature. Irradiation of 2  $\mu$ M (duplex) samples for various times was carried out using an Oriel Instruments solar simulator (300-440 nm) equipped with a 355 nm long-pass filter. Samples were treated with 0.2 units calf thymus DNA to improve sample recovery and 10% piperidine (v/v) to induce strand cleavage at damaged sites, heated for 30 min at 90 °C, and dried in vacuo. Following separation by 20% PAGE, gels were developed using a Molecular Dynamics Storm 820 phosphorimager and Molecular Dynamics phosphorimaging screens. Gels were visualized and quantified using ImageQuant software (Molecular Dynamics). Damage at specific sites is determined as percent counts relative to the total counts per lane.

**Spectroelectrochemistry.** UV–visible spectroelectrochemistry was carried out using a custom-built, optically transparent, thin-layer electrode (OTTLE) cell (path length = 0.1 mm) consisting of vapor-deposited platinum working and pseudoreference electrodes and a Pt-wire auxiliary electrode.<sup>43</sup> The potential of the cell was controlled by

an electrochemical workstation (CH Instruments 650A). Samples consisted of saturated solutions of metal complexes in dry organic solvents that were degassed under  $N_2$  and introduced into the optical cell using a gastight syringe. The cell was held at a reducing potential, and spectra were acquired every 4 s until the sample was fully reduced using a spectrophotometer (Hewlett-Packard 8452A).

**Time-Resolved Spectroscopy.** Steady-state emission spectra were recorded on a Fluorolog-3 spectrofluorometer (Jobin Yvon) using 2 mm slits. Scattered light was rejected from the detector by appropriate filters.

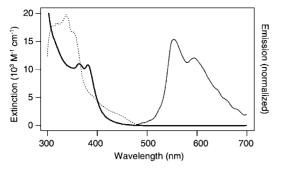
Time-resolved spectroscopic measurements were carried out at the Beckman Institute Laser Resource Center. Time-resolved emission and TA measurements were conducted using instrumentation that has been described.<sup>44</sup> Briefly, the third harmonic (355 nm) of a 10 Hz, Qswitched Nd:YAG laser (Spectra-Physics Quanta-Ray PRO-Series) was used as an excitation source (pump pulse duration  $\approx 8$  ns). For the measurement of transient absorbance spectra, a white light flashlamp of ~15 ns duration was employed as the probe lamp, and two photodiode arrays (Ocean Optics S1024DW Deep Well Spectrometer) detected the measurement and reference beams. For the measurement of transient kinetics, the probe light was provided by a pulsed 75 W arc lamp (PTI model A 1010) and detected with a photomultiplier tube (Hamamatsu R928) following wavelength selection by a double monochromator (Instruments SA DH-10). For both spectral and kinetic measurements, the pump and probe beams were collinear, and scattered laser light was rejected from the detectors using suitable filters. The samples were held in 1 cm path length quartz cuvettes (Starna) equipped with stir bars and irradiated at 355 nm with 500-1000 laser pulses at 5 mJ/pulse. Samples were monitored for degradation by UV/visible absorbance and exchanged for fresh sample when necessary. Samples were prepared with a maximum absorbance of 0.7 to achieve high signal-to-noise ratios in TA experiments. TA measurements were made with and without excitation, and were corrected for background light, scattering, and fluorescence. Transient spectra were smoothed using a boxcar algorithm to reduce the effect of instrumental noise.

Kinetic traces were fit to exponential equations of the form  $I(t) = a_0 + \sum a_n \exp(-t/\tau_n)$ , where I(t) is the signal intensity as a function of time,  $a_0$  is the intensity at long time,  $a_n$  is a pre-exponential factor that represents the relative contribution from the *n*th component to the trace, and  $\tau_n$  is the lifetime of the *n*th component. Up to two exponential terms were used in the model function to obtain acceptable fits. Kinetic traces were smoothed logarithmically prior to fitting to decrease the weight of long time data on the fit.

#### RESULTS

Metal Complex Synthesis and Characterization. The Rh and Ir metal complexes each contain one intercalating ligand (phi in Rh and dppz in Ir) and two ancillary ligands, resulting in the formation of  $\Delta$  and  $\Lambda$  stereoisomers. The efficiency of DNA CT depends strongly on the extent of coupling between the DNA base stack and the bound metal complex, so the stronger binding  $\Delta$ -isomer is preferred for CT experiments.<sup>41</sup> While the diastereomers of Rh-DNA conjugates are easily resolved by reversed-phase HPLC, those of Ir-DNA conjugates are not. For this reason, only the  $\Delta$ -isomer of Rh-DNA was used in experiments involving metal complex-DNA conjugates, while Ir-DNA was used as an isomeric mixture. For experiments involving free metal complexes, isomeric mixtures were used. The Re complex was synthesized using the published protocol for the analogous complex, fac-[Re- $(CO)_3(dppz)(4-methylpyridine)]^{+.37}$  Only the *facial* stereoisomer is expected to form during synthesis, so enantiomeric separation was not a consideration during purification.

Spectroscopically, **Re** resembles other dppz-bearing tricarbonyl Re complexes (Figure 2). $^{36-39,45-51}$  The complex displays absorption maxima at 364 and 382 nm in acetonitrile



**Figure 2.** UV/visible steady-state characteristics of  $[\text{Re}(\text{CO})_3(\text{dppz})-(\text{py'})]^+$ . The absorbance spectrum (bold), emission spectrum ( $\lambda_{\text{ex}} = 355 \text{ nm}$ ; solid), and excitation spectrum ( $\lambda_{\text{em}} = 570 \text{ nm}$ ; dotted) of the complex (18  $\mu$ M) in degassed acetonitrile are shown.

 $(\varepsilon \approx 11,000 \text{ M}^{-1} \text{ cm}^{-1})$ ,<sup>37,39</sup> with a weak tail extending into the visible region. The emission spectrum ( $\lambda_{ex} = 355$  nm) in acetonitrile is bifurcated, exhibiting maxima at 555 and 595 nm. The excitation spectrum of **Re** in acetonitrile ( $\lambda_{em} = 550 \text{ nm}$ ) indicates the evolution of prominent luminescence at 570 nm upon excitation between 300 and 370 nm, with less emission at higher excitation wavelengths. In aqueous solution, the complex behaves as a DNA light switch,<sup>35</sup> emitting only in the presence of DNA. The luminescence spectrum of the complex bound to DNA displays a maximum at 570 nm and a shoulder near 610 nm. Interestingly, when bound to a 30-mer consisting of only G·C base pairs, emission of this complex is almost completely quenched.<sup>52</sup> The persistent luminescence of Re in the constructs studied here may be due to the lack of guanine adjacent to the Re binding site or to sequence-specific differences in binding. The photophysical properties of Rh and Ir have been described.<sup>29,40</sup>

**Electrochemistry.** To compare the energetics of the three complexes, the electrochemical behavior of the carboxylic acid-terminated **Re**, as well as that of the related ethyl ester,  $[\text{Re}(\text{CO})_3(\text{dppz})(\text{ethyl } 3-(\text{pyridin-4-yl})\text{propanoate})]^+$  (Re-OEt), was probed by CV. The CV trace of **Re** shows several overlapping peaks upon reduction and one sharp peak upon reoxidation, indicating aggregation of the complex at the electrode surface, while the CV of Re-OEt is much cleaner, showing one reversible redox wave at -850 mV (Supporting Information, Figure S1). Because the carboxylate functionality is so far removed from the metal center, the ground state redox properties of the ester are expected to be identical to those of the carboxylic acid. Further reduction of Re-OEt to -1.8 V shows several additional irreversible reduction waves.

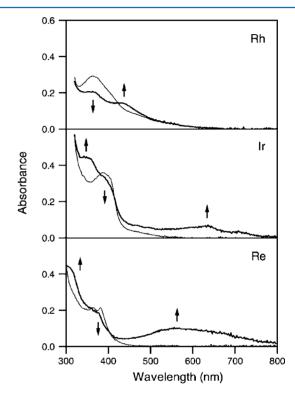
The excited state reduction potential of Re-OEt,  $E^{\circ}(*Re^+/Re^0)$ , was estimated using the formula

$$E^{\circ}(*\text{Re}^{+}/\text{Re}^{0}) = E_{00} + E^{\circ}(\text{Re}^{+}/\text{Re}^{0})$$

where  $E_{00}$  is the zero-zero excited-state energy and  $E^{\circ}(\text{Re}^+/\text{Re}^0)$  is the ground state reduction potential.<sup>53</sup> Considering that DNA-mediated CT may occur from several excited states in **Re**, depending on the relative rates of CT and conversion between excited states,<sup>45</sup>  $E_{00}$  is best approximated as a range of values. The lower bound for  $E_{00}$  can be estimated as the emission maximum (570 nm in aqueous solution, or 2.18 eV), and the upper bound can be estimated as the crossover point between the emission and excitation spectra (480 nm, or 2.58 eV). Thus, for  $E^{\circ}(\text{Re}^+/\text{Re}^0) = -850 \text{ mV}$ ,  $E^{\circ}(\text{*Re}^+/\text{Re}^0)$  is estimated to lie between 1.33 and 1.73 V. Considering the redox potentials of

the base nucleosides  $(E^{\circ}[G^{\bullet+}/G] = 1.29 \text{ V}; E^{\circ}[A^{\bullet+}/A] = 1.42 \text{ V}; E^{\circ}[T^{\bullet+}/T] = 1.6 \text{ V}; E^{\circ}[C^{\bullet+}/C] = 1.7 \text{ V})$ ,<sup>12</sup> the oxidation strength of excited **Re**, as well the oxidation strengths of excited **Rh**  $(E^{\circ}[*\text{Rh}^{3+}/\text{Rh}^{2+}] = 2.0 \text{ V} \text{ vs NHE})^{54}$  and **Ir**  $(E^{\circ}[*\text{Ir}^{+}/\text{Ir}^{0}] = 1.7 \text{ V} \text{ vs NHE})$ ,<sup>29</sup> should be sufficient to oxidize guanine.

**Spectroelectrochemistry.** Absorbance spectra of the reduced metal complexes were determined using spectroelectrochemistry. Spectra of saturated solutions of metal complexes in organic solvents were recorded at regular time intervals during reduction. For **Rh** and **Ir** in 0.1 M TBAH/DMF, the potential was held at < -1.0 V vs Ag/AgCl. For **Re** in 0.1 M TBAH/CH<sub>3</sub>CN, the potential was held at -1.25 V vs Ag/AgCl. These potentials are sufficient for single-electron reduction of the complexes. Figure 3 shows the initial ground state spectrum



**Figure 3.** Steady-state UV/visible absorbance spectra of metal complexes before (thin line) and after (thick line) reduction by bulk electrolysis. Top: 16  $\mu$ M [Rh(phi)<sub>2</sub>(bpy')]<sup>3+</sup> in DMF; middle: 12  $\mu$ M [Ir(ppy)<sub>2</sub>(dppz')]<sup>+</sup> in DMF; bottom: 20  $\mu$ M [Re(CO)<sub>3</sub>(dppz)(py'-OEt)]<sup>+</sup> in acetonitrile. Arrows indicate changes in the spectra upon reduction.

of each sample, as well as the spectrum resulting from exhaustive reduction. For all three samples, reduction causes a decrease in the intensity of the most prominent near-UV band, with the concomitant appearance of broad bands at lower energies. In the spectra of **Ir** and **Re**, absorption bands also appear at higher energies. For **Ir**, subsequent oxidation at 0 V resulted in quantitative regeneration of the initial species, but **Rh** and **Re** showed only incomplete (~95%) recovery. These results indicate that the reduction of **Ir**, but not that of **Rh** or **Re**, is completely reversible on the time scale of the experiment (~10 s). Even so, electrogenerated side products observed in spectroelectrochemistry experiments are not expected to interfere in time-resolved spectroscopic experiments employing fast laser pulses.

Design and Synthesis of Metal Complex-DNA Conjugates. To better understand the interactions between metal complexes and DNA, and the ability of metal complexes to oxidize DNA, three metal complex-DNA conjugates were synthesized. The three conjugates contain identical DNA sequences, and the metal complex in each conjugate is covalently tethered to one end of the duplex via a long alkyl linker. The structures of the complexes and conjugates are shown in Figure 1. The tether in each case is designed to provide considerable conformational flexibility, allowing the complexes to bind DNA as they would in the absence of the covalent linker. However, the tether is not sufficiently long to allow for binding at sites past three base pairs from the end of the duplex, assuming intercalation from the major groove.<sup>55</sup> By limiting the position at which each complex is free to bind, it is possible to control the distance between the photooxidant and the low potential 5'-GG-3' hole trap, negating possible effects of differential distance on the yield and kinetics of DNA CT. Notably, the metal binding site and the 5'-GG-3' trap are separated by at least five base pairs (17 Å), so oxidation at the guanine doublet is presumed to be DNA-mediated. Since identical DNA sequences are used in all three conjugates, each complex experiences a similar electronic environment when bound. To increase the yield of long-range oxidative damage, inosine, rather than guanine, has been incorporated at the metal binding site. Because of its relatively low redox potential (1.29 V vs NHE<sup>12</sup>), guanine is easily oxidized, and the radical formed can participate in facile back ET (BET) to regenerate the initial state of the system.<sup>56</sup> Inosine, although structurally similar to guanine, has a higher redox potential  $(1.5 \text{ V vs NHE}^{18})$  and is not oxidized as readily. These considerations ensure that the distance of DNA CT and the environment of the metal complex are the same in the three conjugates.

Previous experiments have shown that all three complexes bind DNA by intercalation, as evidenced by hypochromism and a red shift in the near-UV absorption upon addition of DNA.<sup>29,37,57</sup> Support for this binding mode is also provided by an increase in the DNA duplex melting temperature in the presence of the metal complexes (Table 1), since  $\pi$ -stacking

 Table 1. Melting Temperature and Guanine Oxidation Yield

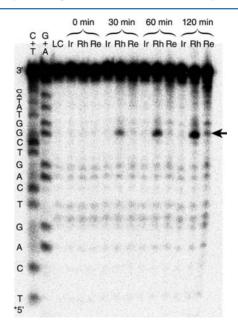
 for Metal Complex-DNA Conjugates

species	$T_{\rm m'} ^{\circ}{ m C}^a$	$G^{ox}$ yield <sup>b</sup>
unmodified DNA	51	
Rh-DNA	59	1.00
Ir-DNA	58	0.06
Re-DNA	52	0.57

<sup>*a*</sup>Measured using 2  $\mu$ M duplexes in buffer (10 mM sodium phosphate, 50 mM NaCl; pH 7.5); uncertainty in  $T_{\rm m}$  estimated as 1 °C. <sup>*b*</sup>Guanine oxidation yield determined via PAGE analysis; reported as the combined counts at both guanine sites of the S'-GG-3' doublet after 120 min irradiation relative to counts per lane, and normalized to the amount of damage observed for **Rh-DNA**.

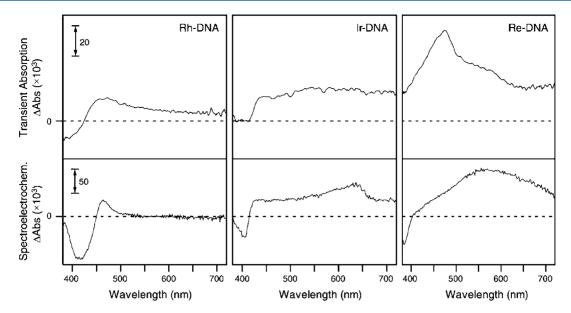
interactions between the bases and the intercalating ligands are expected to stabilize the duplexes. Interestingly, the presence of the covalent linker on the intercalating ligand of **Ir** does not inhibit intercalation of this complex. Presumably, the complex interacts with the DNA bases during annealing, so that when the duplex is formed, the construct resembles a threaded needle, with the metal center on one side of the duplex, the te ther on the other, and the intercalated dppz ligand connecting them.  $^{\rm 29}$ 

**Guanine Oxidation Observed by PAGE.** An assay for guanine damage was carried out to establish directly the ability of **Re** to oxidize guanine and to enable comparison between the yield of oxidation observed upon excitation of each of the three metal complexes. Figure 4 shows the result of the photodamage



**Figure 4.** PAGE analysis following photooxidation of guanine by  $[Rh(phi)_2(bpy')]^{3+}$ ,  $[Ir(ppy)_2(dppz')]^+$ , and  $[Re(CO)_3(dppz)(py')]^+$  covalently bound to DNA. Metal-DNA conjugates (2  $\mu$ M in buffer: 10 mM sodium phosphate, 50 mM NaCl, pH 7.5) were irradiated for 0, 30, 60, or 120 min. DNA strand cleavage at sites of oxidation was achieved by treatment with 10% piperidine. Cleavage products were separated by 20% PAGE and visualized by phosphorimagery. C+T and G+A: Maxam–Gilberts sequencing lanes; LC: light control (no metal complex); Ir, Rh, Re: the corresponding metal-DNA conjugates, irradiated for the indicated times. The DNA sequence is shown along the left edge of the gel. The position of the radiolabel is indicated by \*. The S'-G of the S'-GG-3' doublet is indicated by an arrow.

experiment. Irradiation of DNA in the presence of each metal complex results in damage, although to varying degrees. Most prominently, extensive damage at the 5'-G of the 5'-GG-3' doublet in Rh-DNA appears after only 30 min of irradiation. At this time point, damage in Ir-DNA is undetectable, and damage in Re-DNA is faint. Damage accrues linearly in all three samples with increasing irradiation time (Supporting Information, Figure S2). After 120 min of irradiation, damage in Ir-DNA has accumulated beyond the baseline, and damage in Re-DNA has become pronounced. The damage yield at both guanines of the 5'-GG-3' site increases as Ir-DNA < Re-DNA < **Rh-DNA** (Table 1). The absolute quantum yield of damage could not be determined accurately because of the nature of the sample geometry, but these values are expected to be comparable to those observed in similar conjugates between DNA and  $[Rh(phi)_2(bpy')]^{3+}$  (2 × 10<sup>-6</sup>).<sup>17</sup> Interestingly, the amount of damage does not correlate with the number of photons absorbed per sample. Based only on absorbance, Ir, which has a higher extinction coefficient ( $\varepsilon_{405} = 30,600 \text{ M}^{-1} \text{ cm}^{-1}$ )<sup>29</sup> than **Rh** ( $\varepsilon_{390} = 19,000 \text{ M}^{-1} \text{ cm}^{-1}$ )<sup>58</sup> and **Re** ( $\varepsilon_{388} = 11,000 \text{ M}^{-1} \text{ cm}^{-1}$ ),<sup>37,39</sup> and which has better spectral overlap



**Figure 5.** Comparison between transient absorption difference spectra and spectroelectrochemical difference spectra. Top: TA spectra obtained 60 ns after excitation at 355 nm of 15  $\mu$ M [Rh(phi)<sub>2</sub>(bpy')]<sup>3+</sup>, [Ir(ppy)<sub>2</sub>(dppz')]<sup>+</sup>, and [Re(CO)<sub>3</sub>(dppz)(py')]<sup>+</sup> covalently bound to DNA. Bottom: spectroelectrochemistry difference spectra for (from left to right) 16  $\mu$ M [Rh(phi)<sub>2</sub>(bpy')]<sup>3+</sup> in DMF, 12  $\mu$ M [Ir(ppy)<sub>2</sub>(dppz')]<sup>+</sup> in DMF, and 20  $\mu$ M [Re(CO)<sub>3</sub>(dppz)(py'-OEt)]<sup>+</sup> in acetonitrile.

Table 2. Least-Squares	Parameters for	Fits to	Time-Resolved	Emission and	TA Data
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		emission		transient absorption		
species <sup>a</sup>	$\lambda_{\text{probe}},$ nm	au, ns (% contribution) <sup>b</sup>	$\lambda_{\text{probe}},$ nm	au, ns (% contribution) <sup>b</sup>		
Rh			460	81		
Ir			540	270		
Re	570	180 (12), 17000 (88)	475	19000		
Re-OEt	570	210 (11), 7600 (89)	475	8000		
Rh-DNA			460	73 (79), 1100 (21)		
Ir-DNA			540	5.9 (94), 280 (6)		
Re-DNA	570	265	475	3200 (37), 35000 (63)		

<sup>*a*</sup>Complexes were dissolved in deaerated acetonitrile; metal complex-DNA conjugates were prepared in buffer (10 mM sodium phosphate, 50 mM NaCl; pH 7.5). <sup>*b*</sup>Uncertainty in lifetimes estimated as 10%; values in parentheses correspond to the pre-exponential coefficients  $a_n$  following normalization of the signal.

with the excitation source, would be expected to be the most efficient photooxidant. Additionally, the pattern of damage differs in the three conjugates. While the Rh-DNA sample shows damage mainly at the 5'-G of the guanine doublet, the Re-DNA sample shows comparable damage at both guanines of the doublet, as well as pronounced damage close to the presumed complex binding site. This pattern of cleavage for Re-DNA does not appear to be the result of sensitization of singlet oxygen, given the lack of damage at T's and the absence of damage enhancement in D<sub>2</sub>O reported elsewhere.<sup>38</sup> Importantly, at the duplex concentrations used in these experiments (2  $\mu$ M), interduplex guanine oxidation is not expected to be significant.<sup>19</sup> These results indicate that although each complex has the ability to carry out guanine oxidation at long-range from the excited state, competing reaction pathways operate differently in the three systems.

**Transient Absorption Spectra.** TA spectra of the three conjugates are shown in Figure 5. The spectra illustrate the difference in absorbance observed 60 ns after 355 nm excitation of 15  $\mu$ M aqueous buffered samples (10 mM sodium phosphate, 50 mM NaCl; pH 7.5). In general, the three

conjugates display similar difference spectra. Rh-DNA shows a strong bleach near 390 nm because of depletion of the ground state, as well as a positive transient centered at 460 nm with a long tail extending into the red. This is similar to the TA spectrum obtained for [Rh(phi)<sub>2</sub>(bpy)]<sup>3+</sup> in water 30 ns after 420 nm excitation, except that in the latter case, an additional broad transient was observed centered near 680 nm.<sup>54</sup> Ir-DNA also shows a strong transient that is red-shifted from the ground state absorbance. However, in the case of Ir-DNA, the band is quite broad and featureless, extending into the near-IR region. No bleach was observed in the transient spectrum of Ir-DNA at 405 nm. An attempt to observe the excited state difference spectrum of  $[Ir(ppy)_2(dppz)]^+$  in DMF after 355 nm (~10 ns pulse duration) yielded only broad absorption throughout the visible region. Finally, Re-DNA shows a strong, broad absorption throughout the visible region with a maximum near 460 nm and a shoulder near 550 nm, similar to what was observed for the excitation of  $[Re(CO)_3(dppz)(py)]^+$  in acetonitrile upon 400 nm excitation.<sup>45</sup> While the intensity of the TA signal is comparable for Rh-DNA and Ir-DNA, the signal for Re-DNA at 60 ns is over twice as strong.

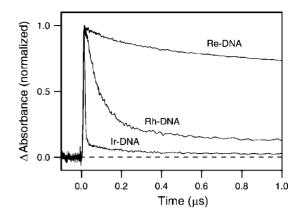
Difference spectra between the reduced and nonreduced metal complexes in organic solvents, measured by spectroelectrochemistry, are also shown in Figure 5. Interestingly, there are several similarities between these difference spectra and those obtained by TA. For example, while the spectroelectrochemistry difference spectrum of Rh does not show the extended tail to long wavelengths observed in the TA spectrum of Rh-DNA, the positions of the bleaches and of the absorbance maxima are roughly the same. Similarly, while the spectroelectrochemistry difference spectrum of Ir shows a bleach at 405 nm and the TA difference spectrum of Ir-DNA does not, bands in both spectra exhibit a sharp increase in absorbance near 420 nm and are relatively flat throughout the visible region. Finally, although the electrochemical difference spectrum of Re exhibits a bleach near 390 nm while the TA difference spectrum of Re-DNA does not, and although their band shapes are different, both absorb strongly into the near-IR. Although the spectra of metal complexes bound to DNA are not expected to be completely analogous to those observed in organic solvents because of differences in the solvation environments, the spectroelectrochemistry difference spectra and the TA difference spectra show remarkable similarities. This result suggests that both techniques probe similar molecular states.

Kinetics. The emission and TA lifetimes of the metal complexes in acetonitrile are quite different from those of the DNA conjugates in aqueous solution. Kinetic parameters obtained from least-squares analysis are shown in Table 2. In general, lifetimes of the three complexes differ by several orders of magnitude. In acetonitrile, Rh and Ir are nonemissive upon excitation at 355 nm, but Re shows strong emission at 570 nm that decays biexponentially with lifetimes of 180 ns and 17  $\mu$ s. The behavior of Re-OEt is similar, although its emission decay lifetime is consistently observed to be shorter than that of Re, even after exhaustive degassing of the solvent via the freezepump-thaw method. The lifetimes of nonemissive excited states can be inferred from TA measurements. Excitation of Rh in acetonitrile at 355 nm results in a weak transient signal at 460 nm (the TA maximum) with a lifetime of 81 ns. Similarly, excitation of Ir gives a transient at 540 nm that decays with a lifetime of 270 ns. Presumably, the 19  $\mu$ s decay observed by TA for Re corresponds to the 17  $\mu$ s decay observed through emission.

TA decays for the three metal complex-DNA conjugates are shown in Figure 6. Again, the lifetimes of the transients differ greatly between the conjugates. In particular, transient signals measured for systems containing DNA-conjugated **Rh** and **Re** have much longer lifetimes than those observed in organic solvents. For **Rh-DNA**, the best fit gives lifetimes of 73 ns and 1.1  $\mu$ s. For **Re-DNA**, photoexcitation yields a more persistent transient signal, with lifetimes of 3.2 and 35  $\mu$ s. **Ir-DNA**, on the other hand, exhibits a very prominent (94%), short-lived component with a lifetime on the order of 6 ns and a longerlived component with a lifetime of 280 ns. The spectroscopic differences observed between the three conjugates underscore the diversity of their photophysical behavior and the differences in their interactions with DNA.

#### DISCUSSION

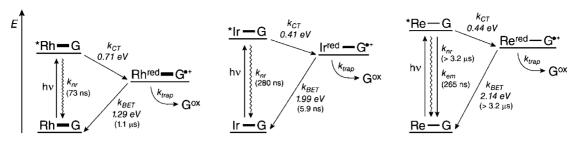
**Excited State Assignments.** The steady-state photophysical properties of **Rh**, **Ir**, and **Re** resemble those of analogous complexes. For example, good agreement between the TA spectra of several phi-containing complexes following excitation at 420 nm has enabled assignment of the 390 nm



**Figure 6.** Transient absorption decay traces for 15  $\mu$ M [Rh-(phi)<sub>2</sub>(bpy')]<sup>3+</sup> (460 nm), [Ir(ppy)<sub>2</sub>(dppz')]<sup>+</sup> (540 nm), and [Re-(CO)<sub>3</sub>(dppz)(py')]<sup>+</sup> (475 nm) covalently bound to DNA following excitation at 355 nm.

absorption band in  $[Rh(phi)_2(phen)]^{3+}$  to a combination of  $\pi$  $\rightarrow \pi^*$  (phen) and  $\pi \rightarrow \pi^*$  (phi) transitions, which quickly relax (<60 ns) to an intraligand charge transfer (ILCT) state in which electron density has shifted to the phenanthrene portion of the phi ligand.<sup>54</sup> Because of the similarities between the photophysics of [Rh(phi)<sub>2</sub>(phen)]<sup>3+</sup> and [Rh(phi)<sub>2</sub>(bpy)]<sup>3+</sup>, a similar process is expected in the latter complex and in its tether-functionalized analogue, Rh. The absorption profiles of Re and Ir are also attributed to a mixture of several transitions. The close resemblance between the absorption spectra of complexes of the type fac- $[Re(CO)_3(dppz)(L)]^{n+}$  and the free dppz ligand has suggested that the absorption maxima observed near 360 and 380 nm result from a  $\pi \rightarrow \pi^*$  (dppz) IL transition.<sup>37,45</sup> However, the long, low-intensity tail into the visible region, as well as a slight red shift of these bands compared to the free dppz ligand, indicate the presence of an underlying  $d\pi$  (Re)  $\rightarrow \pi^*$  (dppz) metal-to-ligand charge transfer (MLCT) transition.<sup>36,45</sup> **Re** shares these characteristics, suggesting that irradiation with light in the near-UV populates several excited states in this species, namely, MLCT states and IL transitions centered on the phenanthrene (IL<sub>phen</sub>) and phenazine (IL<sub>phz</sub>) parts of dppz.<sup>45,46,48,49,59</sup> Over time, the initially excited singlet states are expected to decay to  ${}^{3}MLCT$ ,  ${}^{3}IL_{phen}$ , and  ${}^{3}IL_{phz}$  states.<sup>45</sup> Similarly, Ir exhibits a strong absorption band in the near-UV in acetonitrile, as well as a weak, broad band centered near 450 nm.<sup>29</sup> As in Re, the higher energy bands are likely due to an IL transition on dppz, while the lower energy band is probably MLCT in character. Thus, in all three complexes, a MO of the intercalating ligand is populated upon excitation.

**Reduced Metal Complexes.** The identity of reduced **Rh** may be determined by comparing it with the reduced states of other phi complexes. For example,  $E^{\circ}(Ru^{2+}/Ru^{+})$  in  $[Ru-(bpy)_2(phi)]^{2+}$  appears at a more positive potential than in  $[Ru(bpy)_3]^{2+}$ , indicating that in complexes with mixed bpy and phi ligands, phi is reduced more readily than bpy.<sup>60</sup> The product of single-electron reduction of **Rh** can therefore be assigned as  $[Rh^{III}(phi)(phi^{\bullet-})(bpy')]^{2+}$ . The reduced states of **Re** and **Ir** can be assigned based on analogy to other complexes ligated by dppz. It has been shown that electrochemical reduction of dppz results in the addition of an electron to the phenazine-centered orbital of dppz rather than the  $\alpha$ -diimine-centered orbitals that are populated upon excitation to the MLCT state.<sup>61</sup> Spectroscopically, reduction of dppz is



**Figure 7.** Proposed model for the DNA-mediated oxidation of guanine by metallointercalating photooxidants. Conjugates are represented as M-G, where the thickness of the line connecting M and G represents the extent of coupling between the metal complex and the base stack. Wavy arrows represent nonradiative decay from the excited state (\*Rh, \*Ir, or \*Re). Reduced metal complex states are represented as  $M^{red}$ . The guanine radical cation ( $G^{\bullet+}$ ) is distinguished from permanent guanine oxidation products ( $G^{ox}$ ). Energy level differences are to scale. Thermodynamic driving forces are shown for charge injection and BET, and lifetimes are shown in parentheses. In each scheme, the equilibrium with the poorly coupled system is omitted for clarity.

manifested as the appearance of an absorption band centered near 570 nm that absorbs throughout the visible spectral range.<sup>61</sup> The resemblance between the difference spectrum of reduced dppz and that of reduced **Re** and **Ir** suggests that reduction of **Re** and **Ir** results in addition of an electron to the phenazine-centered orbital of the dppz ligand as well. These assignments are consistent with those of reduced  $[Os-(phen)_2(dppz)]^{2+}$  and  $[Ru(dppz)_3]^{2+}$ , which show similar spectral behavior.<sup>62</sup> The reduced states of the three complexes, therefore, also involve the intercalating ligand. The participation in photophysical and electrochemical process of the intercalating ligand, which is intimately associated with the DNA base stack, may be necessary for efficient DNA-mediated CT to proceed.<sup>63</sup>

Comparison of Spectroelectrochemical and TA Difference Spectra. The TA difference spectra of Rh-DNA and Re-DNA are similar to spectra observed upon photoexcitation of  $[Rh(phi)_2(bpy)]^{3+}$  in water<sup>54,64</sup> and  $[Re(CO)_3(dppz)(py)]^+$  in acetonitrile,45 respectively. However, the TA spectra of the three conjugates also exhibit features in common with the reduced state spectra observed by spectroelectrochemistry. Considering the favorable driving force for guanine oxidation by excited Rh, Ir, and Re, the oxidative damage observed in PAGE experiments, and numerous reports in the literature confirming the ability of similar complexes to oxidize guanine from a distance, <sup>19,28,30,64–66</sup> the observation of reduced states in the TA spectra is expected. In each system, oxidation of guanine by the photoexcited metal complex must result in the reduction of the metal complex. The observed TA spectra, therefore, likely consist of mixtures of excited and reduced states

Analysis of the TA lifetimes supports the formation of a mixture of states. In all three samples, DNA-mediated CT is expected to occur at a rate faster than the time resolution of the instrument.<sup>27,67,68</sup> In Rh-DNA, the TA decay exhibits two lifetimes, the shorter of which is within error of the TA lifetime of Rh in acetonitrile and can therefore be ascribed to decay from the excited state of complex molecules that are not well coupled to the DNA base stack at the time of excitation. However, as is even apparent by gel analysis, Rh-DNA shows the highest level of guanine damage. This supports the idea that DNA-mediated CT is fast compared to the TA Instrumentation; eighty percent of the decay appears from the excited state as unquenched and uncoupled, but a faster static quenching must occur. This static quenching component reflects DNAmediated CT that gives rise to the guanine damage. The second lifetime component, which is over an order of magnitude

slower, is not observed in the absence of DNA, and is attributed to absorption of the reduced state.

The TA decay of Ir-DNA is also biexponential; however, in this case, it is the lifetime of the longer-lived component that shares similarity with the lifetime of Ir in acetonitrile. Here, the interpretation is slightly different. Considering the driving force for guanine oxidation, it is still probable that the reduced state of the complex is formed in Ir-DNA, but that its lifetime is much shorter in Ir-DNA than the lifetime of the reduced state in Rh-DNA. The longer lifetime in Rh-DNA and the shorter lifetime in Ir-DNA, then, reflect the rates of BET in these systems. Importantly, this interpretation is consistent with the results of the PAGE experiment: the low yield of guanine damage in Ir-DNA is due to fast BET in that system.

In Re-DNA, no component is observed which matches the excited state lifetime of Re or Re-OEt, suggesting that the DNA environment affects the photodynamics of this complex. However, excitation in the presence of DNA does lead to formation of a long-lived transient. A similar result was observed for  $[Re(CO)_3(dppz)(4-methylpyridine)]^+$  in the presence of calf thymus DNA.<sup>37</sup> Interestingly, in that case, the transient decay was also biphasic in the presence of DNA, and as the DNA concentration was increased, the longer time component became more dominant. Both phases were assigned to formation of the <sup>3</sup>IL(dppz) excited state, while the 10-fold difference in lifetime between the two phases was attributed to two different binding modes or differences in solvent accessibility. While these factors can influence excited state lifetimes, it is probable that excited state quenching by guanine to form the reduced metal complex also occurs, similar to what we propose for Ir-DNA and Rh-DNA.

Model for DNA-Mediated Guanine Oxidation. From these considerations, a model for the DNA-mediated oxidation of guanine by intercalating photooxidants can be constructed (Figure 7). Prior to excitation, the system exists as an equilibrium of two populations: one in which the metal complex is poorly coupled to the base stack (not shown in Figure 7) and one in which the complex is well coupled. Excitation of the metal complex may be followed by luminescent or nonradiative relaxation, or (in the well coupled system) by charge injection to form the reduced metal complex and the guanine radical cation. From the charge-separated state, the formation of permanent guanine oxidation products by reaction with water or oxygen competes with BET. If charge injection is slow (because of poor coupling between the oxidant and the DNA base stack), decay to the ground state will preclude the eventual formation of guanine damage. If charge

injection is fast, the yield of permanent damage may still be attenuated by facile BET. This mechanism combines the results observed by PAGE and transient absorption, and it is expected to be general for any intercalating metal complex photooxidant.

Factors Affecting the Efficiency of Guanine Oxidation. According to the model, the quantum yield of guanine damage,  $\Phi_{G^{\alpha}}$ , can be expressed as

$$\Phi_{\rm G^{ox}} = \Phi_{\rm CT} \frac{k_{\rm trap}}{k_{\rm trap} + k_{\rm BET}} \tag{1}$$

where  $\Phi_{CT}$  is the quantum yield of CT,  $k_{trap}$  and  $k_{BET}$  are the rates of hole trapping (to form permanent guanine products) and BET, respectively, and

$$\Phi_{\rm CT} = F \frac{k_{\rm CT}}{k_{\rm CT} + k_{\rm em} + k_{\rm nr}}$$
(2)

depends on *F*, the fraction of conjugates that exists in a CTactive conformation at the time of excitation, and the rates of CT ( $k_{\rm CT}$ ), emission ( $k_{\rm em}$ , if applicable), and nonradiative decay processes ( $k_{\rm nr}$ ). Here,  $k_{\rm CT}$  refers to the intrinsic rate of CT through DNA, assuming a maximally coupled system, and dynamic aspects of the equilibrium between well-coupled and poorly coupled systems have not been considered. Using the definition of quantum yield, the amount of damage observed,  $N_{\rm G}^{\rm ex}$ , can be expressed as a function of  $N_{\rm abs}$ , the number of photons absorbed:

$$N_{\rm G}^{\rm ox} = N_{\rm abs} \times F\left(\frac{k_{\rm CT}}{k_{\rm CT} + k_{\rm em} + k_{\rm nr}}\right) \\ \times \left(\frac{k_{\rm trap}}{k_{\rm trap} + k_{\rm BET}}\right)$$
(3)

This function nicely summarizes the many factors that affect the yield of guanine damage. A greater number of photons absorbed, a greater fraction of the population in a CT-active conformation, and faster rates of CT and trapping increase the yield; conversely, faster rates of emission, nonradiative decay, and BET decrease the yield.

The extent of electronic coupling between the photooxidant and the hole acceptor is expected to have a strong influence on the amount of damage observed. Intercalation confers superior coupling between the oxidant and the DNA base stack. Functionally, the intercalated ligand "becomes" an additional base, linking the electronic system of the metal complex to that of the base stack. Poor coupling, therefore, disrupts this linkage and decreases the rate of charge injection. Factors that affect the degree of coupling between the oxidant and the base stack include the planarity and size of the intercalating ligand, <sup>63,69</sup> the charge of the complex, the dynamics of the oxidant within the intercalation site,<sup>68</sup> and the size, shape, and hydrophobicity of the ancillary ligands.<sup>64,70,71</sup> Experimentally, the extent of coupling of the metal complex to the base stack is reflected in part by the increase in DNA melting temperature in the presence of the intercalator and by the extent of hypochromism associated with binding. From melting temperature data (Table 1), coupling in the conjugates increases as Re-DNA < Ir-DNA < Rh-DNA. The stronger coupling observed in Rh-DNA is likely due to its higher charge (+3 for Rh, compared to +1 for Ir and **Re**), as well as the use of only the diastereomer bearing the tighter binding  $\Delta$ -isomer.

In general, the extent of coupling between the bases themselves also affects the yield of damage. Indeed, the efficiency of DNA CT depends on the DNA sequence  $^{72-74}$  and base motions,<sup>75,76</sup> and examination of DNA CT in solution<sup>7</sup> and through DNA monolayers on gold surfaces<sup>78-80</sup> has illustrated the acute sensitivity of DNA CT to intervening mismatches and lesions. In our tethered systems, such sequence-dependent and dynamic effects are not expected to cause differences in the guanine oxidation yield, since they will have equal bearing on the results for each of the three conjugates. Variations in CT associated with distance have not been determined in these experiments but would be expected to be comparable for the different assemblies. Not only do the tethered binding positions appear to be comparable based on model building, but more importantly, for well coupled probes the distance dependence of DNA-mediated CT has been found to be equally shallow independent of the probe. 19,31,81,82

The rate of any CT process is related to the thermodynamic driving force according to Marcus theory.83 For the CT reaction, thermodynamic analysis predicts that \*Rh should be the strongest oxidant  $(E^{\circ}[*Rh^{3+}/Rh^{2+}] = 2.0 \text{ V vs NHE}^{54})$ , while \*Ir  $(E^{\circ}[*Ir^+/Ir^0] = 1.7 \text{ V vs NHE}^{29}$  with  $E_{00}$  calculated as the crossover point between the absorbance and emission spectra) is expected to yield a similar amount of damage as \*Re  $(E^{\circ}[*Re^+/Re^0] = 1.73 \text{ V}$ , calculated in a similar way), but this trend is not observed. One factor that contributes to the greater yield in **Re-DNA** is the much longer lifetime (slower  $k_{em}$  and  $k_{\rm nr}$ ) observed for the excited state of **Re** (Table 2). Besides decreasing the denominator in eq 3, a longer excited state lifetime will increase F, since more conformational states of DNA can be sampled prior to relaxation of the excited state. This increases the probability of achieving a CT-active conformation within the excited state lifetime. Another contributing factor is facile BET in Ir-DNA, which deactivates the charge-separated precursor before damage can occur. TA experiments have shown that in the absence of BET, the lifetime of the guanine radical extends into the millisecond regime.<sup>56</sup> Any process that neutralizes the radical within its lifetime will decrease the yield of permanent damage.<sup>17</sup> As an extreme example, BET completely prevents the formation of oxidative guanine damage when thionine is used as an intercalating photooxidant, despite the favorable driving force for this reaction ( $\sim 0.7 \text{ eV}$ ).<sup>84</sup> The driving force for BET in each of the conjugates increases approximately as **Rh-DNA** (1.29 eV)<sup>54</sup> < Ir-DNA (1.99 eV)<sup>29</sup> < Re-DNA (2.14 eV). Because of the large free energy changes associated with BET, these processes are expected to lie in the Marcus inverted region.<sup>85</sup> From these considerations alone, the rate of BET is therefore predicted to be fastest in Rh-DNA and slowest in Re-DNA. The observation of faster BET in Ir-DNA than in Rh-DNA by TA spectroscopy indicates that other factors, such as reorganization energy, may affect the rate of BET. Interestingly, effective coupling is needed for efficient BET as well as CT. In Re-DNA, poorer coupling to the base stack could decrease the efficiency of BET, further enhancing the yield of guanine damage in this conjugate.

The trend observed in the guanine oxidation assay can be explained by the interplay of these many factors. The higher yield of damage in the **Rh-DNA** sample is likely due to the strong driving force for guanine oxidation. For **Rh**, this value is 0.71 eV, compared to 0.51 eV for **Ir-DNA** and 0.54 eV for **Re-DNA**. This strong driving force leads to a fast  $k_{\rm CT}$ . The high yield in the **Rh-DNA** sample is also because of strong coupling,

evidenced by the high melting temperature differential observed for **Rh-DNA**: (8 °C, compared to 7 °C for **Ir-DNA** and 1 °C for **Re-DNA**). Presumably, BET in **Rh-DNA** is offset by these factors. In comparing **Ir-DNA** and **Re-DNA**, which have the same intercalating ligand, the same charge, and show a similar driving force for guanine oxidation, other factors become important. In these conjugates, the stronger coupling of **Ir** to the base stack results in faster rates of CT and BET, decreasing the yield of damage, while the longer excited-state lifetime and strongly inverted BET in **Re-DNA** increase damage.

#### CONCLUSIONS

The electrochemical and photophysical properties of three metal complexes and their DNA conjugates have been observed in the same sequence context. All of the complexes have high excited state reduction potentials, and gel electrophoresis experiments indicate that guanine oxidation by the excited complexes can occur via DNA CT. Comparison between spectroelectrochemical difference spectra and TA difference spectra suggests that photoexcitation of metal complex-DNA conjugates results in a mixture of excited and reduced metal states, allowing for the observation of charge-separated intermediates and measurement of the relative rates of charge recombination (BET). The ability to oxidize guanine indicates effective coupling of all of the complexes to the DNA base stack, signifying that these or similar complexes could be useful for triggering oxidation in more complex experimental systems.

#### ASSOCIATED CONTENT

#### **S** Supporting Information

Cyclic voltammetry traces of **Re** and Re-OEt in acetonitrile, accumulation of guanine damage with irradiation. This material is available free of charge via the Internet at http://pubs.acs.org.

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